Accelerating the transition to open access



A transition to open access

As the world's largest Open Access (OA) publisher, Springer Nature is committed to accelerating the adoption of Open Science techniques and OA publishing world-wide. Open approaches benefit the whole scientific and research community.



Aids the application of research to solve real-world problems



Increases the public's appreciation of research

Facilitates collaboration



Fosters economic growth



We believe gold open access publication offers the simplest, the most open, and the most sustainable route to open access.

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Transformative deals

b Hybrid publication can, when approached strategically, be used to achieve a real transition to OA ??

- Chris Banks, Assistant Provost (Space) & Director of Library Services, Imperial College London

AUNU

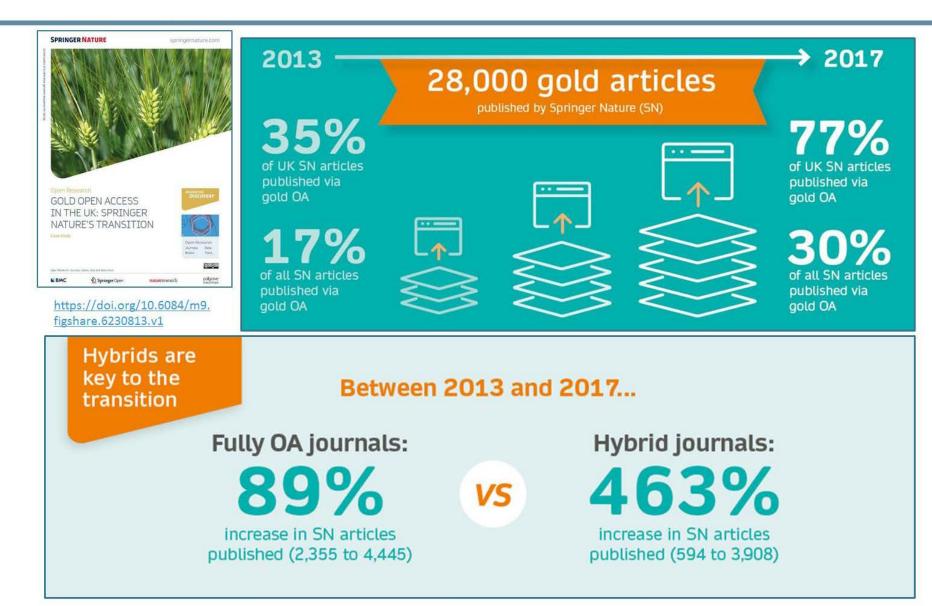
We have developed and successfully implemented **"Publish and Read" deals based on OA**, hybrid and society subscription journals over the past five years.

> We have **transformative arrangements** in place with: The Netherlands, Austria, Sweden, Hungary, the UK, Finland, Poland, Max Planck Institute and Qatar

High levels of OA up take

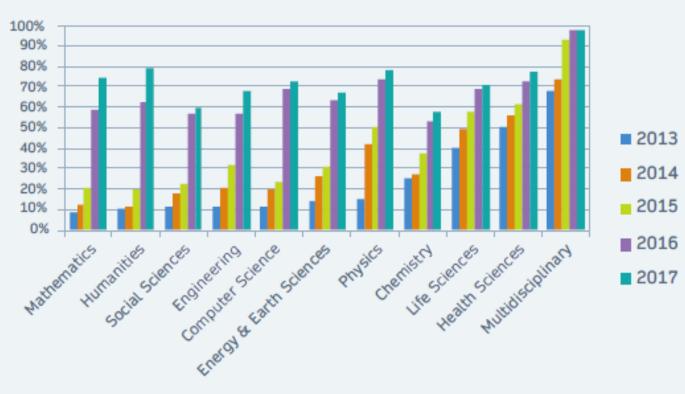
In 2017, countries with these agreements showed high levels of OA publication: Austria 73%, UK 77%, Netherlands 84%, Sweden 93%

Transformative deals can achieve real progress in advancing open access – UK case study



Transformative deals can achieve real progress in advancing open access – UK case study

74% of articles with UK corresponding authors published in Springer Nature's mathematics titles in 2017 were gold OA, up from 8% in 2013.
80% of articles with UK corresponding authors published in Springer Nature's humanities titles in 2017 were gold OA, up from 10% in 2013."



OA POLICIES: WORLD MAP

OA funding and policies vary regionally, and there is also fragmentation within regions

EUROPE: Desire for an OA transition, with strong support for gold OA from some (not all) funders

N. AMERICA: National funders focusing on green OA deposition but Gates Foundation pro- gold

National research council policies

- OA mandate + dedicated APC funding
- No mandate, dedicated APC funding
- ØA mandate + APC funding via research
- grants

Green OA (self-archiving)

mandate, no APC funding
 National OA repository
 scheme

International

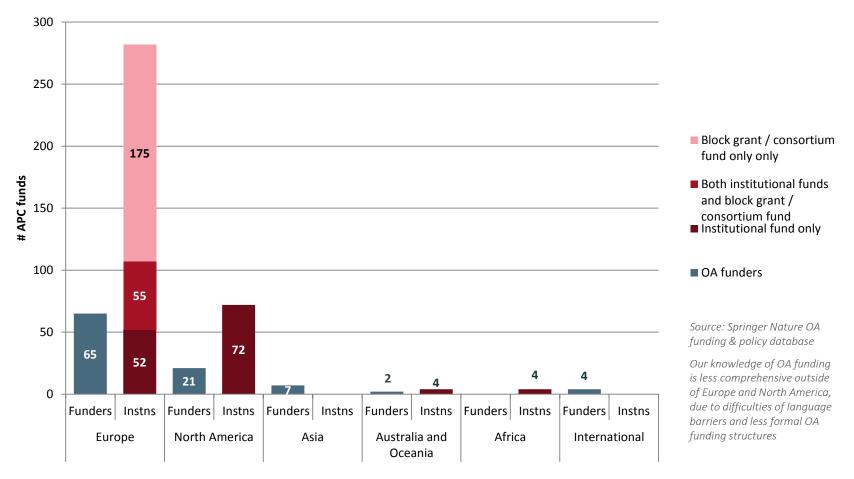
OA mandate and APC funding: European Commission, ERC, GRC, WHO

CHINA: funders support mixed green and gold but little enforcement of OA requirements

21

OA funds by world region

Formal OA funding is concentrated in Europe



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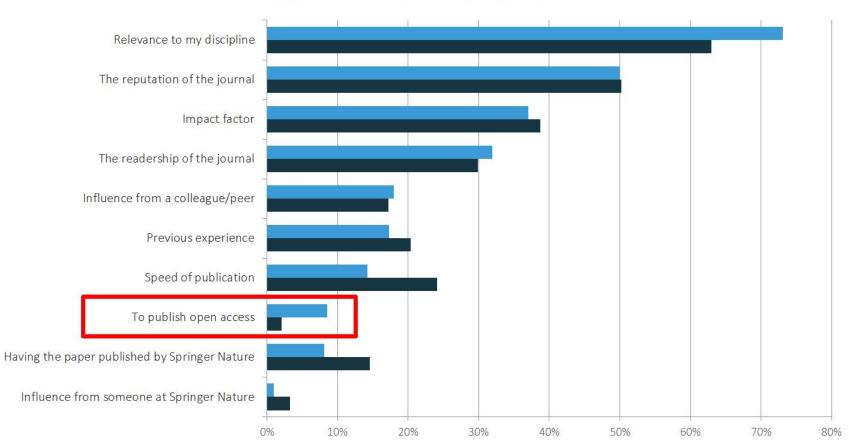
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Working towards a global level playing field

Increasing the evidence base around the benefits of Open Access and promoting them to the researcher community

Low awareness amongst researchers about the benefits of OA OA is not a primary driver for publication decision

What were the three most important reasons for choosing to submit to the journal XYZ?



■ Funded by cOAlition S, EU and ERC ■ All authors

Advantages of open access for authors



On average:



of OA articles than non-OA articles



articles across all subjects

OA articles attracted 1.9x more news mentions and 1.2x more policy mentions

https://doi.org/10.6084/m9.figshare.6396290

Plan S

The principles of Plan S and Springer Nature's position

Springer Nature supports the intention to move faster towards a system where publicly-funded research is openly available at the point of publication

We proposed six recommendations to cOAlition S in order to deliver Plan S:

- 1. Work towards a **global level playing field** by increasing the evidence base around the benefits of OA
- 2. Make transformative deals a key part of Plan S
- 3. Allow 'hybrid' and 'sister' journals to be Plan S compliant
- 4. Work together on a **sustainable solution for highly selective journals** and non primary research content in journals
- Utilise market forces and competition to ensure 'reasonable' APC levels
- 6. Support **innovative access platforms** being built to provide early access to primary research.

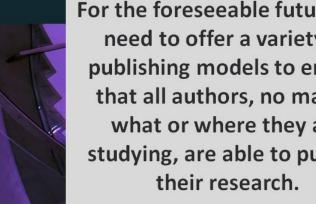
Hybrid OA plays a critical role in supporting the transition to OA

In the absence of a global approach to open access from funders and governments, hybrid journals have an important role to play and provide the choice and independence authors need.

> In 2017, 18% of Springer Nature's gold OA articles were published in hybrid journals.

Research and the communication of it is global and changes in publishing models in one part of the world need to take into account other regions.

Many academic fields do not have the funding necessary for open access; funders and governments in many parts of the world are not prioritising Gold OA



For the foreseeable future, we need to offer a variety of publishing models to ensure that all authors, no matter what or where they are studying, are able to publish

Thankyou and Questions!